



## ADAPTATION TO FLOODING AND MITIGATING IMPACTS OF ROAD CONSTRUCTION – A FRAMEWORK TO IDENTIFY PRACTICAL STEPS TO COUNTER CLIMATE CHANGE

Rajib B. Mallick<sup>1</sup>, Martins Zaumanis<sup>2</sup>✉, Robert Frank<sup>3</sup>

<sup>1</sup>Dept of Civil and Environmental Engineering, Worcester Polytechnic Institute (WPI),  
Institute road 100, Worcester, MA 01609, USA

<sup>2</sup>Research Management and Development Department at Road Competence Center, Latvian State Roads,  
Rencēnu iela 1, LV1073 Rīga, Latvia

<sup>3</sup>RAP Technologies, 217 Belhaven Avenue, Linwood, NJ 08221, USA

E-mails: <sup>1</sup>rajib@wpi.edu; <sup>2</sup>martins.zaumanis@lvceli.lv; <sup>3</sup>Bobfrank@raptech.us

**Abstract.** Adaptation and mitigation are the two critical actions that are needed to counter the looming threats of climate change on transportation. For roadways, flooding constitutes one of the most important impacts of climate change, and adaptation to build more resilient roadways must be made. For a proper adaptation, the first step is a way to properly assess the vulnerability of roadways to flooding. Road construction impacts the environment negatively through emissions and energy consumption, and a proper way to determine the practical methods to reduce the impact is also necessary. This paper presents a framework to assess the vulnerability of roadways to flooding and evaluate the impact of different changes in construction on energy consumption and emission. Two system dynamics based models were developed and results of the simulations have been presented. Simulation tools for these two models have also been developed and made available on the public domain. The results of the simulation point out the beneficial effects of providing low permeability and dense and thick surface layers to reduce vulnerability to flooding and that of using drier aggregates, reducing heat losses, reduced mix temperatures and extension of pavement lives on the emission and energy consumption during roadway construction.

**Keywords:** climate-change, flooding, road-construction, energy, emission, system dynamics.

### 1. Introduction

The latest report from the Intergovernmental Panel on Climate Change (IPCC) *Climate Change 2014: Impacts, Adaptation, and Vulnerability* and the U.S. Government National Climate Assessment Committee (Melillo *et al.* 2014) present the climate-change related challenges, discuss the needs for different adaptation and mitigation activities, and express concern regarding the likely effects of climate change on the infrastructure. The contribution of the transportation sector on the environment through emissions and resultant climate changes has also been highlighted (Fuglestedt *et al.* 2007). Building roadway pavements that are resilient to the effects of climate change, and also reducing the impact of road building activities on the environment are two activities that logically follow from such concerns. Since roadways constitute the bulk of the transportation system in most countries around the world, without the proper consideration of these two important criteria, transportation in general,

and road construction and maintenance in particular, will be unsustainable in the future. The role of adaptive strategies in reducing the impacts and consequences of the climate change has been highlighted, and it has been pointed out that assessing vulnerability is an important step in the adaptation plan (Melillo *et al.* 2014). One of the direct impacts of climate change on the infrastructure is an increased risk of flooding, and resultant inundation of roads, as a result of both increased precipitation and frequency of extreme events (Melillo *et al.* 2014). A proper understanding of vulnerability of roads to the effects of inundation for different periods of time as well as different depths of ponding is critical for road agencies for proper adaptation of the infrastructure to climate change. This vulnerability is also dependent on the maximum high temperatures of the pavements, which are also most likely to increase in many areas, as a result of climate change.

To mitigate the effects of road building on the environment, several key steps are taken, such as recycling and

use of lower temperatures for production and construction of pavements. While the beneficial effect of recycling has been researched and reported extensively in the literature (Vidal *et al.* 2013; Zaumanis, Mallick 2015), there are also other simpler, yet equally, or more effective steps that could be taken that could lead to a significant reduction in the use of energy and creation of greenhouse gases (which to climate change); these include decreasing the Moisture Content (MC) of aggregates and using lesser amounts of new materials. While all of these steps may not be feasible for an industry or country, the simpler and less expensive, yet effective measures could be taken immediately.

While research is being conducted at all levels to improve the resilience of roads and reduce the impact of road construction on the environment, there is a need to utilize the existing information to build a framework and a tool that could be utilized by road agencies at present, and continuously improved with the use of newly available data and information from ongoing research.

## 2. Objective

The objectives of this paper are to present two frameworks that were developed, one related to the adaptation of the asphalt (which make up the majority of pavements in the world) pavement roadways to the effects of climate change (flooding) and the other related to the mitigation of the impacts of asphalt pavement construction on the environment (energy and emission), which contribute to climate change, and to recommend some practical steps that are taken for effective adaptation to and mitigation of effects of climate changes.

## 3. Models

First, the topic of the adaptation of asphalt pavements to climate changes is discussed, followed by a discussion of the mitigation of the impacts of asphalt pavement construction.

### 3.1. Vulnerability to flooding

The recent report *Climate Change 2014: Impacts, Adaptation, and Vulnerability* from the IPCC states that as a result of climate change the number of flooding events is most likely to increase in many parts of the world. Flooding results in standing water of different depths and for different duration on roadways. This results in ingress of water into the pavement structure, and consequent damage. Whether or not such damage will occur, and to what extent the damage will occur, depend on a number of interrelated factors that also change over time. Hence, to adapt to flooding, a proper understanding of the roles of the various design and construction factors, and then a method to utilize that knowledge to build resilient roadways, are needed. In this respect, the following considerations are made.

Consider a typical asphalt pavement with an asphalt mix (hot mix asphalt (HMA)) surface layer, and unbound aggregate base, subbase and subgrade course underneath. During flooding, water enters the structure through the surface layer (because they are relatively coarse graded

and with higher permeability compared to the subgrade soil), and the MC in the surface layer and the base course will increase. The danger is that an increase in the MC in both of these layers will result in a decrease in the stiffness and strength of the materials. If the flooding is long enough and/or the depth of ponding is high enough, the MC in these layers get up to such a level as to cause full or nearly full saturation of the materials; a review of literature shows that such a high saturation level causes drastic deterioration in the load bearing capacity of the unbound aggregate layers (Carrera *et al.* 2009). To adapt to flooding, the pavement must be designed and constructed in such a way that the time for saturation is higher than the expected duration of flooding and/or the materials must be made resistant to damage at high saturation. Generally, unbound base layers made out of entirely aggregate is not made resistant to moisture damage at high saturation; however the HMA layer above it is made stronger to help it continue to support traffic even with a reduced base support. Hence, an accurate determination of the time for saturation of the base and an understanding of material properties that are related to HMA strength under saturated conditions, are needed.

In general, materials of road construction are selected according to the agency specifications, which are developed on the basis of experience and with the consideration of locally available materials, and local climatic conditions. The key properties of the HMA layers are interdependent, and also change over time (are dynamic). Furthermore, the properties are also dependent on the distresses in the pavements; eg cracks in existing pavements significant increase the permeability and hence reduce the flow time for saturation. The time for saturation and the potential of damage in HMA depend on material and layer properties (Tables 1 and 2) as well as on the cracking potential of the mix, which is predicted from the aging potential of the mix, using the Global Aging System model (Brown *et al.* 2008; Green, Ampt 1911; Hubbard, Gollomb 1937; Ridgeway 1976). A system dynamics approach was adopted to develop an appropriate model and to run simulations that would allow to identify the effects of the different factors, and then to identify the more practical steps that could be taken to adapt these roadways to flooding. Fig. 1 shows the system dynamics model, and the simulation tool that was developed on the basis of this model (Mallick *et al.* 2015).

The critical time for the saturation of the unbound base course has been predicted with the combined use of the layer and material properties. Existing modes have been utilized to correlate Optimum Moisture Content (OMC, %), density ( $d$ , t/m<sup>3</sup>s), maximum density and the gradation (a few key sieve sizes), Annual Rainfall (AR, m) and Evaporation ( $E$ , m), Initial Moisture (IM, %) and Permeability ( $k$ , m/s). The Green Ampt model (Green, Ampt 1911) has been utilized to predict the critical time of saturation, with the use of depth of ponded (flood water) and layer thickness. For the HMA part, the permeability has been predicted on the basis of gradation and Air Voids ( $V$ , %) (Brown *et al.*

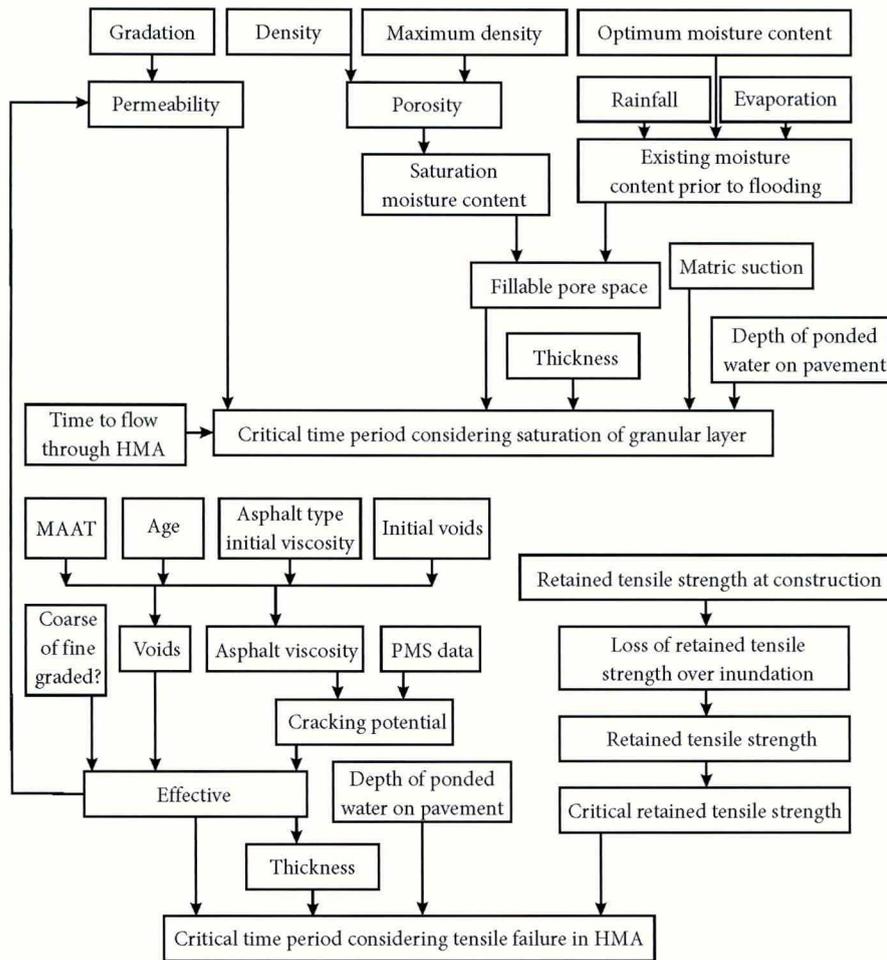


Fig. 1. Model for determination of critical flooding time

Table 1. Factors affecting the time of saturation

Parameter		Equation	
Porosity of base	$n$	$n = \frac{1 - MDD}{d}$	(1)
Volumetric MC of re-saturated course grained soil, base	$\theta_r, \%$	$\theta_r = n - 0.05$	(2)
Existing MC, base	$\theta_i, \%$	$\theta_i = 0.7(OMC) + 0.29(AR - PE) + 0.58 \frac{P_{0.425}}{P_{0.075}} - 0.02P_{2.36}$	(3)
Fillable pore space, base	$f, \%$	$f = \theta_r - \theta_i$	(4)
Permeability, of base course	$K_r, m/s$	$K_r = 2.192D_{10}^{1.478} \frac{n^{6.654}}{P_{0.075}^{0.597}}$	(5)
Critical flooding time (for saturation)	$T_{c,s}, s$	$T_{c,s} = \frac{\theta_r - \theta_i}{k} \left[ L_f - (h_L - \psi_f) \ln \left( \frac{h_L + L_{ff} - \psi}{h_L - \psi_f} \right) \right]$	(6)
Effective permeability considering HMA and base course layer	$k_{effective}, m/s$	$k_{effective} = \frac{h_{HMA} + h_{Base}}{k_{HMA} + k_{Base}}$	(7)
Retained tensile strength of HMA	$RTS(t), \% \text{ of dry strength}$	$RTS(t) = TS(i) - RRTS \cdot t$	(8)
Critical velocity of water for erosion (for coarse grained soil) which is considered to be used in the base	$V_c, m/s$	$V_c = 0.35D_{50}^{0.45}$	(9)

Note: Eqs (1-5) – from Main Roads Western Australia. Engineering Road Note No. 5, 2003; Eq (6) – from Green and Ampt (1911); Eq (9) – from Briaud (2008).

**Table 2.** Determination of  $K_{HMA}$  and  $K_{HMAcracked}$

Gradation of the surface course	Air voids, $V, \%$	Permeability of uncracked HMA by Brown <i>et al.</i> (2008), $K_{HMA}, 10^{-5} \text{ cm/s}$	Permeability of cracked HMA, $K_{HMAcracked}, \text{ cm/s}$	Permeability of cracks by Ridgeway (1976), $K_{Cr}, \text{ m/day/m}$
Coarse, <40% passing the 2.36 mm sieve	$V(t)$	$K_{HMA} = 0.0015 V^{5.6933}$ (10)*	$K_{HMAcracked} = 0.5 K_{HMA} + 0.5 K_{Cr}$ (12)*	Rate of infiltration is $0.223 \text{ m}^3/\text{day/m}$ ; considering 12.5 mm wide crack is $\frac{0.023}{33.600 \cdot 24 \cdot 1 \cdot 0.012} = 2.06 \cdot 10^{-4}$
Fine, >40% passing the 2.36 mm sieve	$V(t)$	$K_{HMA} = 0.0014 V^{4.6827}$ (11)*		

Note: \*equations derived by authors;  $MDD$  – modified  $\max^m$  density,  $t/m^3$ ;  $d$  – density,  $t/m^3$ ;  $OMC$  – Optimum Moisture Content, %;  $AR$  – Annual Rainfall, m;  $PE$  – Potential Evaporation, m;  $P_{0.425}$  – % finer than 0.425 mm;  $P_{2.36}$  – % finer than 2.36 mm;  $P_{0.075}$  – % finer than 0.075 mm;  $T_c$  – critical flooding time (for saturation);  $D_{10}$  and  $D_{50}$  – grain size at 10% and 50% passing, respectively, mm;  $L_f$  – thickness of (HMA + base course), m;  $\Psi_f$  – suction, m;  $h_L$  – depth of ponded water, m;  $k_{effective}$  – effective permeability in m/s;  $h_{HMA}$  – thickness of HMA, m;  $h_{base}$  – thickness of base course, m;  $k_{HMA}$  – permeability of HMA, m/s;  $k_{base}$  – permeability of base course, m/s;  $RTS(t)$  – Retained Tensile Strength at any time  $t$ , %;  $RTS(i)$  – Initial Tensile Strength (at construction), %;  $RRTS$  – rate of change (deterioration) in Retained Tensile Strength, % per unit of time (year);  $t$  – time at which the retained tensile strength is determined, year;  $(t)$  – indicates time dependent.

2008) and with the consideration of existence (or absence) of cracks (Ridgeway 1976). The decrease in tensile strength in HMA (as a result of moisture damage has been modelled on the basis of published data by Choubane *et al.* (2000). Details of the model (models and simulations are available at: <http://goo.gl/sBqJj0>) are presented in Table 1.

**3.2. Mitigation of impact of road building on the environment**

A literature review (Frank *et al.* 2011) shows that energy consumption and emission of  $CO_2$ ,  $CO$ ,  $SO_2$  and  $NO_x$  (all of which can be quantified in terms of equivalent  $CO_2$ ) are the main concerns regarding the impact of the road building industry on the environment. The problem of burning of fuel and emission of gases has been recognized by the industry and agencies, and a number of potential solutions are becoming available for the reduction of emission. Many of these solutions are in the developmental stage, and may not be available at this time to users around the world. However, there are also some practical steps that are taken to reduce the amount of energy that is spent in the preparation of asphalt mix, and hence to cut down the amount of emissions. Based on a review of literature, this paper considers the following practical steps:

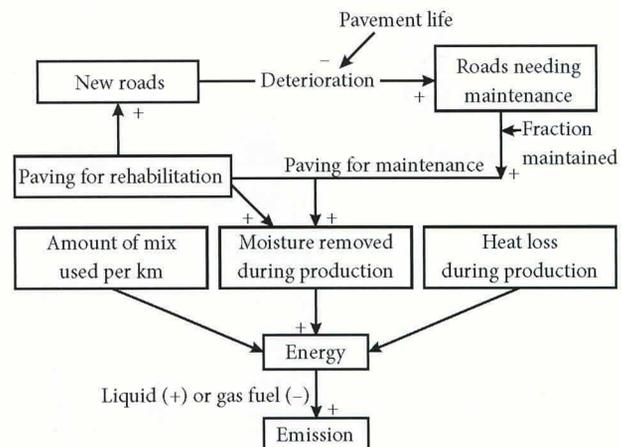
1. Reducing moisture in aggregate stockpile (Young 2007): an increase in the  $MC$  of the aggregates lead to the need for an increased amount of energy to drive off the excess moisture, since excess moisture in aggregates will lead to insufficient asphalt binder coating, and stripping damage in the field. Keeping the aggregate stockpiles under a cover, and/or in a dry place helps in reducing its  $MC$ .
2. Reducing heat loss during production: the amount of heat loss in the dryer drum, during the drying of the aggregates leads to wastage of fuel, and improvement in insulation of the drum results in significant savings in energy and reduction in emissions.
3. Reducing temperature of mix according NAPA Report *Black and Green: Sustainable Asphalt, Now and Tomorrow* of 2009, Young (2007) and Zaumanis (2014):

amount of emissions are directly proportional to the amount of energy that is utilized for the production of the mix. Hence any reduction in temperature of production of the mix will lead to a significant drop in the emission. Such drop can be accomplished by either one of (a growing number of) Warm Mix Asphalt (WMA) technologies, which include the use of specialty products in relatively small amounts, and the use of foaming techniques with the addition of a small amount of water to the binder during mixing.

Using gas fuel versus liquid fuel (Myers *et al.* 2000): use of gas fuels generally results in reduction in emissions, especially with respect to  $SO_2$  and  $NO_x$ .

Reducing the amount of mix per km of pavement (Carbon Trust 2010): a thicker layer requires a greater amount of mix and hence results in a greater amount of energy usage and a greater amount of emissions. Hence, a reduction in the amount of mix for both maintenance and rehabilitation work leads to a reduction in the amount of energy that is utilized and hence the amount of emissions.

Using these five parameters as variables, a system dynamics model (models and simulations are available at: <http://goo.gl/HbbPh0>) was created and simulated for different values. The model is shown in Fig. 2.



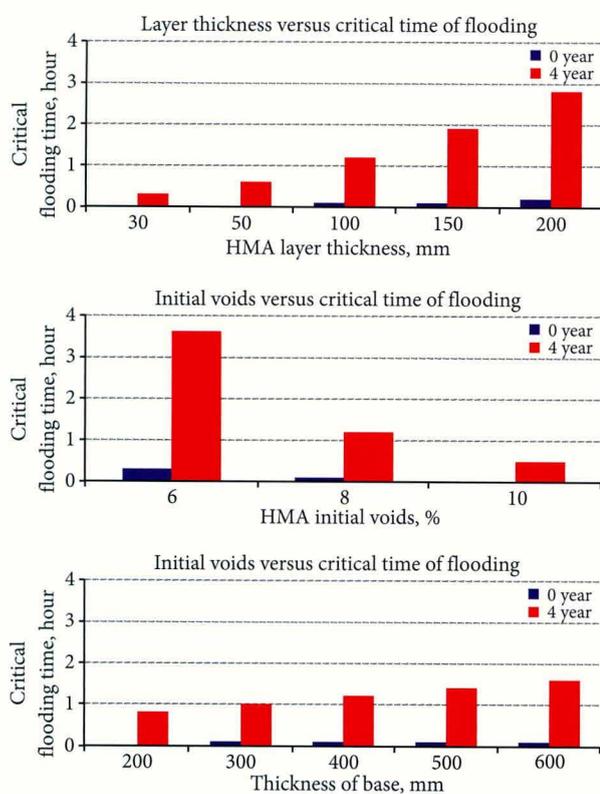
**Fig. 2.** Model for analysis of impact of road construction on the environment

The energy consumed and CO<sub>2</sub> equivalent generated because of new road construction/rehabilitation and maintenance have been predicted using existing data (West *et al.* 2014) for two types of fuel (liquid and gas), mixes produced at different temperatures, different percentages of moisture

**Table 3.** Data for model (from information presented in West *et al.* (2014))

Temperature of production, °C	MC of aggregate, %	Energy consumed, GJ/t	CO <sub>2</sub> equivalent emitted	
			Gas fuel kg/t	Liquid fuel kg/t
105	0	0.11	5.0	7.5
	4	0.21	10.5	15.0
140	0	0.14	7.5	10.0
	4	0.25	13.0	17.5
170	0	0.18	10.0	13.0
	4	0.28	15.0	21.0

Note: 1. Regression equation relating temperature of production ( $T$ ) and MC to energy ( $E$ ) consumed:  $\frac{GJ}{t} = -0.005 + 0.001(T) + 0.026(MC)$ . 2. Regression equation relating CO<sub>2</sub> equivalent (CO<sub>2</sub> eq, gas) to energy ( $E$ ) for gas fuel: CO<sub>2</sub> eq: gas =  $-0.630 + 55.37E$ . 3. Regression equation relating CO<sub>2</sub> equivalent (CO<sub>2</sub> eq, liquid) to energy ( $E$ ) for liquid fuel: CO<sub>2</sub> eq: liquid =  $-0.800 + 75.895E$ . 4. A 12% heat loss is assumed in these calculations. For un-insulated dryers the heat loss ( $HL$ , %) will be higher and the energy consumption and the resultant heat CO<sub>2</sub> eq will also be higher, as follows:  $\frac{GJ}{t} = [-0.005 + 0.001(T) + 0.026(MC)][1 + 0.01(HL - 12)]$ .



**Fig. 3.** Effects of various design and construction parameters

in aggregates, and different percentages of heat loss during the production of HMA. Variables include the fraction of the network that is maintained every year, authorized rehabilitations per year and the average pavement life.

The relevant data are shown in Table 3 (West *et al.* 2014). The data were utilized to develop regression models that were used in the system dynamics model.

#### 4. Why system dynamics?

A changing climate impacts the roadways in a very complicated way by affecting multiple interrelated factors. Considering the case of flooding, eg a rise in maximum temperature will lead to an increased rate of aging of the asphalt binder, which will result in premature aging and cracks in the pavement. These cracks will increase the rate of flow of water through the surface layer to the unbound layer, and this, with the increased potential of flooding due to climate change, will lead to an increase in the vulnerability of the pavement. At the same time, it is also true that an increase in maximum temperature would lead to a drop in  $V$  of the surface layer (due to increased compaction) and would reduce the permeability of the surface layer (in the wheel path areas, for uncracked sections) over time. As is noted in the NCHRP Report *Guide for Mechanistic-Empirical Design. Design Inputs* of 2003 the change in voids will also have an effect on the aging of the binder, since mixes with a higher voids content would tend to experience a higher rate of oxidation and hence aging of the binder. Lastly, these changes are nonlinear in nature, and because of the interdependence of various factors, the results could be counterintuitive and a straightforward model (or an analytical solution) could not be formulated. Furthermore, for some issues such as emissions from road construction, the impacts of various steps need to be evaluated over a long period of time, to determine the best approach. Simulation is the best approach for evaluating such systems, and a complex time dependent system can be best simulated using the system dynamics approach (Forrester 1971), which does consider the dynamics of interactions between several factors, and helps the user to visualize changes over the long term. System dynamics also allows the consideration of feedback loops, which affect a process to a significant extent, and also lead to counterintuitive results, and it has been utilized by many researchers to determine impacts of policy changes in critical areas such as energy, growth, resources and climate changes (Mallick *et al.* 2014; Sterman 2012).

#### 5. Results of simulation

For both system dynamics models described above, simulations were carried out with different scenarios. Specifically, the levels of the different variables were changed and the changes in the critical time of flooding (for the vulnerability study) and the energy consumption and CO<sub>2</sub> generation (for the mitigation part of the study) were determined.

##### 5.1. Vulnerability to flooding

As seen in Fig. 3, the critical period for a pavement, with respect to vulnerability to flooding, is the one that is immediately after construction, when the voids are relatively

high, and have not been reduced by traffic compaction. During this period the base course gets saturated very quickly (very low critical time ( $T_{c,s}$ ). This  $T_{c,s}$  will increase over time, with a decrease in voids and consequence decrease in the permeability of the HMA; however, areas in between wheel paths and in shoulder areas will still remain at high  $V$  and hence vulnerable. Hence, the best option is to provide a very dense (low  $V$ ) layer in pavements that are in flood prone areas. Such low  $V$  provided with the use of finer gradations, and/or specialized seals which consist or not consist of geosynthetic layers (Brown *et al.* 2008). The  $T_{c,s}$  also is decreased by increasing the thickness of the HMA as well as the base layer, and with the use of a HMA mix that has a low deterioration rate of tensile strength over time (Fig. 4). With an increase in age of the pavement, the potential of cracking increases. Cracked pavements have significantly higher permeability compared to untracked pavements, and hence a significantly lower  $T_{c,s}$ . Therefore, with time, the vulnerability of asphalt pavements increases, and unless cracks are sealed or old pavements are resurfaced, the base layer gets saturated very quickly. The potential of cracking depends on a number of factors including the Mean Annual Air Temperature (MAAT, °C) of the location, and is reduced by using lower viscosity asphalt and lower initial  $V$  for any specific MAAT. Generally, as is noted in the NCHRP Report *Guide for Mechanistic-Empirical Design. Design Inputs* of 2003 higher the MAAT, greater is the degree of compaction, but also greater is the increase in viscosity by aging of the asphalt, and higher is the risk of cracking (as the binder reaches the critical viscosity sooner. Fig. 4 shows the  $T_{c,s}$  for three MAATs – 7 °C, 15 °C and 24 °C, for an initial  $V$  of 8%. The  $T_{c,s}$  is higher for 15 °C compared to that of the 7 °C as the higher temperature facilitates compaction and causes a greater drop in  $V$  and a consequent greater drop in permeability. However, at 24 °C, the higher temperature facilitates the aging of the asphalt binder to such an extent, that very quickly the binder viscosity reaches a critical level at which cracking is expected (Hubbard, Gollomb 1937), and hence there is drop in the  $T_{c,s}$ . Hence, pavements in flood prone areas, particularly in warm climatic conditions, must be maintained adequately through the use of proper crack filling/sealing and surfacing.

Examples of the results of simulations in terms of normalized  $T_{c,s}$  and parameter values are presented in Fig. 5. The time of saturation of the base course (and hence failure of the pavement due to flooding) is seen to be affected by different factors, to different degrees, and an agency selects its most cost effective option. In terms of efficiency of reducing the potential of damage (by increasing the time of saturation), the factors are listed as follows (from increasing to decreasing effects):

- HMA layer:
  - air voids ( $V$ );
  - gradation (coarse or fine);
  - thickness;
  - cracking;
  - tensile strength loss.
- Base layer:

- thickness;
  - matric suction.
- Embankments near flowing streams:
- provide riprap to protect from erosion (simulation shows very low  $T_{c,s}$  for soil embankments near streams);
  - the highway agency determines the required  $T_{c,s}$  and determine the most cost effective measure that it takes to prevent damage during flooding.

### 5.2. Mitigation of impact

Examples of results of simulations are shown in Figs 6 and 7, in terms of percent moisture removed and pavement life, respectively. It is observed that total amount of energy consumed and emissions increase nonlinearly over time, the differences may not be discernible in the immediate future but are significant in the long run, and

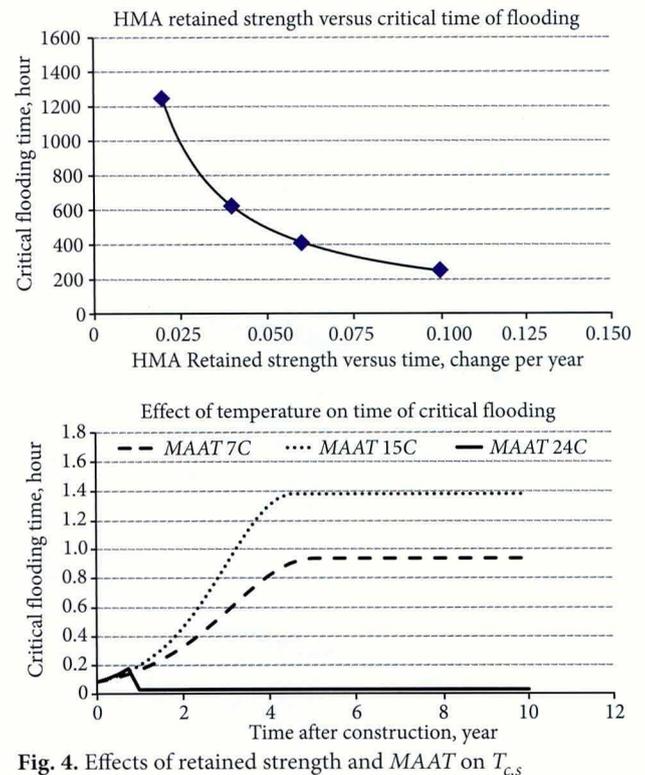


Fig. 4. Effects of retained strength and MAAT on  $T_{c,s}$

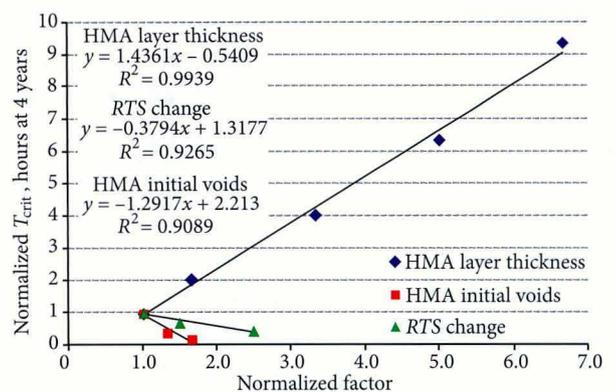


Fig. 5. Examples of effects of factors on critical time of flooding ( $T_{c,s}$ ) for the base course

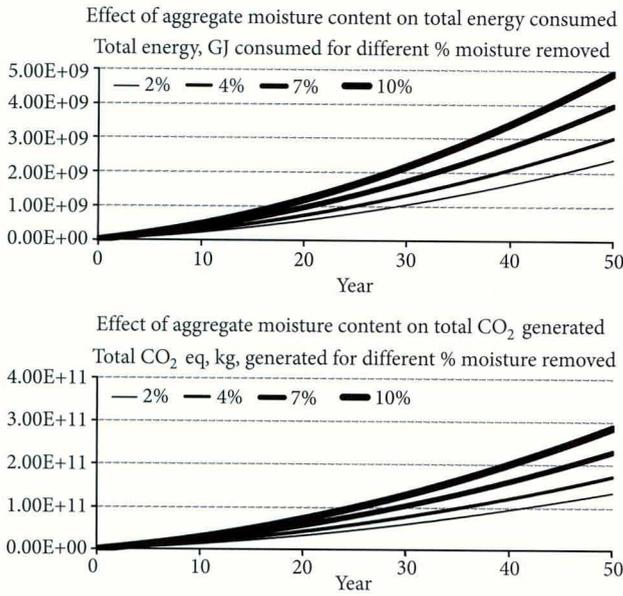


Fig. 6. Effect of moisture removed (from mix)

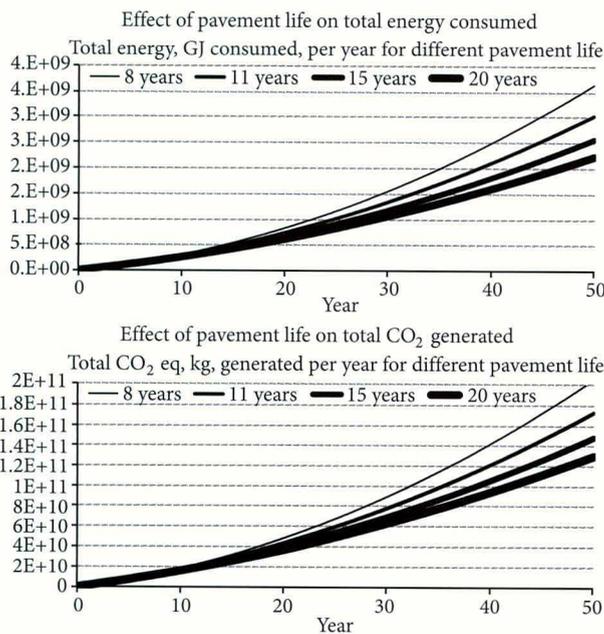


Fig. 7. Effect of pavement life

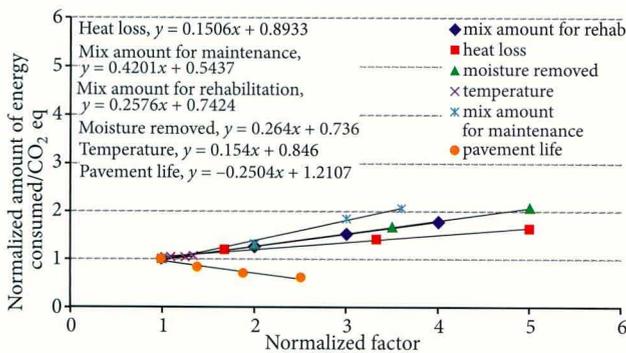


Fig. 8. Comparison of effects of factors

that the effects of the different factors (reducing temperature, heat loss and MC) on the energy consumption/emissions are of different levels. The normalized amount of energy consumed (and CO<sub>2</sub> equivalent produced) versus the normalized changes in the different factors are shown in Fig. 8. It is seen that the effect of MC in aggregates and the amount of mix used for rehabilitation and maintenance and rehabilitation are greater than the effect of reduction in temperature and heat loss. These points out some important practical steps that could be taken immediately even if sophisticated techniques for lowering the temperature of mix are not available. Two such steps are:

- keep aggregates dry by keeping them under shed (and protecting them from rainfall, methods described in (West *et al.* 2012);
- utilize recycling as much as possible to reduce the use of new materials and mixes.

Of the various recycling techniques that are available (Zaumanis, Mallick 2015), those requiring no or reduced heating of aggregates (cold recycling) should be given preference. Wherever the use of new mixes is unavoidable, newly available techniques must be adopted to reduce their temperatures. Finally, the amount of heat loss (through dryer drums and ductwork) should be minimized with the use of proper insulations, wherever possible.

Note that the results are dependent on how many km of roads are rehabilitated and maintained per year, and the pavement life. Depending on the specific values of these variables, highway agencies experiment with different techniques and determine the most cost effective option. One direct way of decreasing the amount of energy consumed/emissions is by enhancing the longevity of the pavements, so that the pavement life is increased, and the amount of maintenance/rehabilitation work is reduced. One important topic to note is that the model is improved significantly by incorporating the changes in the average pavement life that is anticipated for the changes in the temperature of the mix that is used for paving the layers; at this time, due to lack of sufficient published data, the lives have been considered to be the same. So eg if the use of a lower temperature actually results in a mix with a longer life (because of less oxidation of the asphalt binder during production) then the positive effect of the lowering of temperature will be more significant than what is illustrated here. Note that the mixes considered here are hot and WMA, and not cold mix asphalt.

The models presented here should be considered as part of a framework, which is improved significantly and calibrated for local conditions/materials/structures with pertinent data.

### 6. Limitations

This paper considers the energy consumption and CO<sub>2</sub> emissions from only part of the processes that are involved in road construction. In the next version of the model, the effects of such steps as transportation and end of life construction will be included. Furthermore, the model will also be upgraded to include the effects of land use change on the total impact of emissions – it is expected that as

more trees are cut down for making roads, the CO<sub>2</sub> absorption ability of the land will be reduced.

## 7. Summary

The objectives of this paper were to present frameworks for the adaptation to and mitigation of effects of climate changes for roadway pavements and suggest some practical beneficial steps that could be taken at this time. The objectives were achieved through the development of two comprehensive models that allow the simulation of the effect of different relevant factors over a long period of time (50 years). The two models are related to the adaptation of pavements to make them resilient to the effects of flooding (which may increase as a result of climate change), and to the reduction in the amount of energy and emissions that contribute to climate change. Since many of the factors are interrelated and also dependent on time, system dynamics was selected as an appropriate method, and utilized, for the construction of the models. The simulations demonstrated the overall effects of the different factors, and also helped in the ranking of the different factors in terms of their effectiveness.

## 8. Conclusions

For roadways that are likely to get flooded, the following steps could be taken to make them flood resistant, help the agencies/public to be able to utilize the roads during and immediately after flooding for emergency and non-emergency needs, and avoids costly repairs.

1. Reduce the permeability of the surface layer through a reduction of the air voids: this could be accomplished by using a suitable number of rollers, appropriate (fine) mix, closer quality control, and/or through the application of a binder rich surface seal; reduction of initial voids from 10% to 6% can increase the critical flooding time by seven times.

2. Provide a thicker surface layer to protect the underlying granular base course; an increase in surface layer thickness from 30 mm to 200 mm increases the critical flooding time by six times.

3. Use appropriate materials to prevent cracking of the surface layer, and seal cracks on a regular basis, especially prior to flooding seasons.

4. Protect embankments from erosion by providing riprap near flowing streams.

5. To reduce the negative impact of road construction, with respect to energy consumption and emission, the following steps are suggested:

- store aggregates under covers or sheds to avoid the increase in moisture content due to rainfall; a decrease in moisture content from 10% to 4% reduces the energy consumption by 40%;
- reduce heat losses from dryer drums and ductwork through proper insulation; a reduction of heat loss from 5% to 3% result in an energy savings of 28%;
- reduce temperature of new mixes, with the adoption of new Warm Mix Asphalt technology; a reduction in temperature from 160 °C to 120 °C will

result in a savings of a significant amount of energy (0.5·10<sup>9</sup> GJ, over the course of 60 years);

- utilize materials and methods to enhance the life of the pavements such that fewer maintenance cycles would be required.

## References

- Briaud, J. L. 2008. Case Histories in Soil and Rock Erosion: Woodrow Wilson Bridge, Brazos River Meander, Normandy Cliffs, and New Orleans Levees, *Journal of Geotechnical and Geoenvironmental Engineering* 134(10): 1425–1447. [http://dx.doi.org/10.1061/\(ASCE\)1090-0241\(2008\)134:10\(1425\)](http://dx.doi.org/10.1061/(ASCE)1090-0241(2008)134:10(1425))
- Brown, E. R.; Hainin, M. R.; Cooley, A.; Hurley, G. 2008. *Relationship of HMA in-Place Air Voids, Lift Thickness, and Permeability*. Vol. 3. NCHRP Web Document 68 (Project 9–27). Transportation Research Board, Washington, D.C. 47 p.
- Carrera, A.; Dawson, A.; Stege, J. 2009. *Report Nr 2 – State of the Art of Materials' Sensitivity to Moisture Change*. Project: P2R2C2 – Material Sensitivity to Moisture Change. University of Nottingham, UK. 38 p.
- Choubane, B.; Page, G. C.; Musselman, J. A. 2000. Effects of Different Water Saturation Levels on the Resistance of Compacted HMA Samples to Moisture Induced Damage, *Transportation Research Record* 723: 97–106. <http://dx.doi.org/10.3141/1723-13>
- Forrester, J. W. 1971. *World Dynamics*. Cambridge: Wright-Allen Press. 142 p.
- Frank, B.; Brian, P. D.; Hurley, G. C.; West, R. C. 2011. Warm Mix Asphalt (WMA) Emission Reductions and Energy Savings, in *Proc. of the 2<sup>nd</sup> International Conference on Warm Mix Asphalt: Papers and Speakers Presentation*, 11–13 October 2011, St. Louis, Missouri, USA.
- Fuglestedt, J.; Berntsen T.; Myhre G.; Rypdal, K.; Skeie, R. B. 2008. Climate Forcing from the Transport Sectors, *PNAS* 105(2): 454–458. <http://dx.doi.org/10.1073/pnas.0702958104>
- Green, W. H.; Ampt, G. A. 1911. Studies of Soil Physics, Part I – the Flow of Air and Water through Soils, *The Journal of Agricultural Science* 4(1): 1–24. <http://dx.doi.org/10.1017/S0021859600001441>
- Hubbard, P.; Gollomb, H. 1937. The Hardening of Asphalt with Relation to Development of Cracks in Asphalt Pavements, *Journal of the Association of the Asphalt Paving Technologists* 9: 165–194.
- Mallick, R. B.; Tao, M.; Daniel, J. S.; Jacobs, J.; Veeraragavan, A. 2015. Development of a Methodology and a Tool for the Assessment of Vulnerability of Roadways to Flood-Induced Damage, *Journal of Flood Risk Management*. 13 p. <http://dx.doi.org/10.1111/jfr3.12135>
- Mallick, R. B.; Radzicki, M.; Zaubanis, M.; Frank, R. 2014. Use of System Dynamics for Proper Conservation and Recycling of Aggregates for Sustainable Road Constructions, *Resources, Conservation and Recycling* 86: 61–73. <http://dx.doi.org/10.1016/j.resconrec.2014.02.006>
- Melillo, J. M.; Richmond, T. C.; Yohe, G. W. 2014. *Climate Change Impacts in the United States: the Third National Climate Assessment*. U.S. Global Change Research Program. 841 p. <http://dx.doi.org/10.7930/J0Z31WJ2>

- Myers, R.; Shrager, B.; Brooks, G. 2000. *Hot Mix Asphalt Plants Emission Assessment Report*. Report No. EPA-454/R-00-019. National Asphalt Pavement Association, North Carolina, USA. 46 p.
- West, R.; Rodenzo, C.; Grand, J.; Prowell, B.; Frank, B.; Osborne, L. V.; Kriech, T. 2014. *Field Performance of Warm Mix Asphalt Technologies*. NCHRP Report 779. Transportation Research Board, Washington, D.C. 255 p.
- Ridgeway, H. H. 1976. Infiltration of Water through the Pavement Surface (Abridgement), *Transportation Research Record* 616: 98–100.
- Sterman, J. D. 2012. Sustaining Sustainability: Creating a Systems Science in a Fragmented Academy and Polarized World, in M. P. Weinstein, E. R. Turner (Eds.). *Sustainability Science: the Emerging Paradigm and the Urban Environment*. Springer, 21–58. [http://dx.doi.org/10.1007/978-1-4614-3188-6\\_2](http://dx.doi.org/10.1007/978-1-4614-3188-6_2)
- Vidal, R.; Moliner, E.; Martinez, G.; Rubio, M. C. 2013. Life Cycle Assessment of Hot Mix Asphalt and Zeolite-Based Warm Mix Asphalt with Reclaimed Asphalt Pavement, *Resources, Conservation and Recycling* 74: 101–114. <http://dx.doi.org/10.1016/j.resconrec.2013.02.018>
- Young, T. J. 2007. *Energy Conservation in Hot-Mix Asphalt Production*. Quality Improvement Series 126. National Asphalt Pavement Association, Lunham, Maryland, USA. 32 p.
- Zaumanis, M.; Mallick, R. 2015. Review of Very High Content Reclaimed Asphalt Use in Plant Produced Pavements: State-of-the-Art, *International Journal of Pavement Engineering* 16(1): 39–55. <http://dx.doi.org/10.1080/10298436.2014.893331>
- Zaumanis, M. 2014. Warm Mix Asphalt, in K. Gopalakrishnan, W. Steyn, J. Harvey. *Climate Change, Energy, Sustainability and Pavements*. Berlin: Springer-Verlag. 309–334. [http://dx.doi.org/10.1007/978-3-662-44719-2\\_10](http://dx.doi.org/10.1007/978-3-662-44719-2_10)

Received 8 July 2014; accepted 16 January 2015